

1. Name of Reporting Railroad Union Pacific Railroad Company [UP]				1a. Alphabetic Code UP				1b. Railroad Accident/Incident No. 0420HO005																	
2. Name of Other Railroad or Other Entity with Consist Involved				2a. Alphabetic Code				2b. Railroad Accident/Incident No.																	
3. Name of Railroad or Other Entity Responsible for Track Maintenance (single entry) Union Pacific Railroad Company [UP]				3a. Alphabetic Code UP				3b. Railroad Accident/Incident No. 0420HO005																	
4. U. S. DOT Grade Crossing Identification Number				5. Date of Accident/Incident month day year 0 4 0 5 2020				6. Time of Accident/Incident 2:06 AM <input type="checkbox"/> PM <input checked="" type="checkbox"/>																	
7. Type of Accident/ Incident (single entry in code box)		1. Derailment 2. Head on collision 3. Rear end collision		4. Side collision 5. Raking collision 6. Broken train collision		7. Hwy-rail crossing 8. RR grade crossing 9. Obstruction		10. Explosion-detonation 11. Fire/violent rupture 12. Other impacts		13. Other (describe in narrative)		Code 12													
8. Cars Carrying HAZMAT 1		9. HAZMAT Cars Damaged/ Derailed 1		10. Cars Releasing HAZMAT N/A		11. People Evacuated N/A		12. Subdivision HOUSTON SUB																	
13. Nearest City/ Town HOUSTON				14. Milepost (to nearest tenth) 357.04		15. State Abbr. TX		Code 48		16. County HARRIS															
17. Temperature (F) (specify if minus) 69 ° F		18. Visibility (single entry) 1. Dawn 3. Dusk 2. Day 4. Dark Code 2		19. Weather (single entry) 1. Clear 3. Rain 5. Sleet 2. Cloudy 4. Fog 6. Snow Code 2		20. Type of Track 1. Main 3. Siding 2. Yard 4. Industry Code 2																			
21. Track Name/ Number YARD 037				22. FRA Track Class (1-9, X) 1		23. Annual Track Density (gross tons in millions) 7		24. Time Table Direction 1. North 3. East 2. South 4. West Code 4																	
25. Type of Equipment Consist (single entry)		1. Freight train 2. Passenger train-Pulling 3. Commuter train-Pulling 4. Work train		5. Single car 6. Cut of cars 7. Yard/switching 8. Light loco(s).		9. Maint./inspect. car A. Spec. MoW Equip. B. Passenger Train-Pushing C. Commuter Train-Pushing Code 7		26. Was Equipment Attended? 1. Yes 2. No Code Y		27. Train Number/Symbol YEW1															
28. Speed (recorded speed if available) R - Recorded E - Estimated 003 MPH		Code E		30. Type of Territory (enter codes that apply) Signalization (Mandatory) 1. Signalized 2. Not Signalized Method of Operation/Authority for Movement (Mandatory) 1. Signal Indication 2. Direct Train Control 3. Yard/Restricted Limits 4. Block Register Territory 5. Other Than Main Track Supplemental/Adjunct Codes (Mandatory*) K-Restricted Speed or Equivalent * Mandatory to the extent that all applicable codes are entered						30a. Remotely Controlled Locomotive? 0 = Not a remotely controlled operation 1 = Remote control portable transmitter 2 = Remote control tower operation 3 = Remote control portable transmitter - more than one remote control transmitter Code 1															
29. Trailing Tons (gross tonnage, excluding power units) 42																									
31. Principal Car/Unit (1) First involved (derailed, struck, etc) UTLX901540		a. Initial and Number		b. Position in Train 002		c. Loaded (yes/no) N		32. If any railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box. Alcohol <input type="checkbox"/> Drugs <input type="checkbox"/>																	
(2) Causing (if mechanical, cause reported)				000				33. Was this consist transporting passengers? (y/n) No																	
34. Locomotive Units (Exclude EMU, DMU, and Cab Car Locomotives.)		a. Head End		b. Manual		c. Remote		d. Manual		e. Remote		35. Cars (Include EMU, DMU, and Cab Car Locomotives.)		a. Freight		b. Pass.		c. Freight		d. Pass.		e. Caboose			
(1) Total in Train		1		0		0		0		0		(1) Total in Equipment Consist		0		0		1		0		0			
(2) Total Derailed		0		0		0		0		0		(2) Total Derailed		0		0		0		0		0			
36. Equipment Damage This Consist		\$ 11.081		37. Track, Signal, Way, & Structure Damage		\$ 198		38. Primary Cause Code M101		39. Contributing Cause Code															
Number of Crew Members												Length of Time on Duty													
40. Engineers/ Operators 1		41. Firemen		42. Conductors 0		43. Brakemen		44. Engineer/Operator Hrs: 06 Mins: 36				45. Conductor Hrs: Mins:													
Casualties to:		46. Railroad Employees		47. Train Passengers		48. Others		49a. Special Study Block A				49b. Special Study Block B													
Fatal		0		0		0		OTH				000-000-000													
Nonfatal		0		0		0																			
50. Latitude 29.79604												51. Longitude -95.291863													
52. Narrative Description (Be specific, and continue on separate sheet if necessary) YEW12R-05, DURING NORMAL HUMMING OPERATIONS, AUTOMATIC HUMP CONTROL SYSTEM FAILED TO PROPERLY ROUTECARS, RESULTING IN THE UTLX901540 ROLLING DOWN BOWL TRACK 36 AND COLLIDE WITH THE CORNER OF THE DOWX74269 IN BOWL 37. SWITCH ISSUES WAS FOUND TO BE CAUSED BY BALLAST IN THE SWITCH POINT.																									
53. Typed/Printed Name & Title of Preparer												54. Signature												55. Date	
NOTE: This report is part of the reporting railroad's accident report pursuant to the accident reports statute and, as such shall not "be admitted as evidence or used for any purpose in any suit or action for damages growing out of any matter mentioned in said report...." 49 U.S.C. 20903. See 49 C.F.R. 225.7 (b).																									
This collection of information is mandatory under 49 CFR 225, and is used by FRA to monitor national rail safety. Public reporting burden is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing databases, gathering and maintaining the data needed, and completing and reviewing the collection of information. The information collected is a matter of public record, and no confidentiality is promised to any respondent. Please note that an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this collection is 2130-0500.																									

RAIL EQUIPMENT ACCIDENT/INCIDENT REPORT

1. Name of Reporting Railroad Union Pacific Railroad Company [UP]				1a. Alphabetic Code UP		1b. Railroad Accident/Incident No. 0420HO005							
2. Name of Other Railroad or Other Entity with Consist Involved				2a. Alphabetic Code		2b. Railroad Accident/Incident No.							
3. Name of Railroad or Other Entity Responsible for Track Maintenance (single entry) Union Pacific Railroad Company [UP]				3a. Alphabetic Code UP		3b. Railroad Accident/Incident No. 0420HO005							
4. U. S. DOT Grade Crossing Identification Number				5. Date of Accident/Incident month day year 0 4 0 5 2020		6. Time of Accident/Incident 2:06 AM <input type="checkbox"/> PM <input checked="" type="checkbox"/>							
7. Type of Accident/ Incident (single entry in code box)		1. Derailment 2. Head on collision 3. Rear end collision		4. Side collision 5. Raking collision 6. Broken train collision		7. Hwy-rail crossing 8. RR grade crossing 9. Obstruction		10. Explosion-detonation 11. Fire/violent rupture 12. Other impacts		13. Other (describe in narrative) 12			
8. Cars Carrying HAZMAT 1		9. HAZMAT Cars Damaged/ Derailed 1		10. Cars Releasing HAZMAT N/A		11. People Evacuated N/A		12. Subdivision HOUSTON SUB					
13. Nearest City/ Town HOUSTON				14. Milepost (to nearest tenth) 357.04		15. State Abbr. TX		16. County 48 HARRIS					
17. Temperature (F) (specify if minus) 69 ° F		18. Visibility (single entry) 1. Dawn 3. Dusk 2. Day 4. Dark 2		19. Weather (single entry) 1. Clear 3. Rain 5. Sleet 2. Cloudy 4. Fog 6. Snow 2		20. Type of Track 1. Main 3. Siding 2. Yard 4. Industry 2							
21. Track Name/ Number YARD 037				22. FRA Track Class (1-9, X) 1		23. Annual Track Density (gross tons in millions) 5		24. Time Table Direction 1. North 3. East 2. South 4. West 1					
25. Type of Equipment Consist (single entry)		1. Freight train 2. Passenger train-Pulling 3. Commuter train-Pulling 4. Work train		5. Single car 6. Cut of cars 7. Yard/switching 8. Light loco(s)		9. Maint./inspect. car A. Spec. MoW Equip. B. Passenger Train-Pushing C. Commuter Train-Pushing		D. EMU E. DMU Code 5		26. Was Equipment Attended? 1. Yes 2. No Code N			
28. Speed (recorded speed if available) R - Recorded E - Estimated 000 MPH		Code E		30. Type of Territory (enter codes that apply) Signalization (Mandatory) 1. Signalized 2. Not Signalized Method of Operation/Authority for Movement (Mandatory) 1. Signal Indication 2. Direct Train Control 3. Yard/Restricted Limits 4. Block Register Territory 5. Other Than Main Track Supplemental/Adjunct Codes (Mandatory*) K-Restricted Speed or Equivalent * Mandatory to the extent that all applicable codes are entered						30a. Remotely Controlled Locomotive? 0 = Not a remotely controlled operation 1 = Remote control portable transmitter 2 = Remote control tower operation 3 = Remote control portable transmitter - more than one remote control transmitter Code 1			
29. Trailing Tons (gross tonnage, excluding power units) 37													
31. Principal Car/Unit (1) First involved (derailed, struck, etc)		a. Initial and Number DOWX074269		b. Position in Train 001		c. Loaded (yes/no) N		32. If any railroad employee(s) tested for drug/alcohol use, enter the number that were positive in the appropriate box.					
(2) Causing (if mechanical, cause reported)				000				33. Was this consist transporting passengers? (y/n) No					
34. Locomotive Units (Exclude EMU, DMU, and Cab Car Locomotives.)		a. Head End		b. Manual		c. Remote		d. Manual		e. Remote			
(1) Total in Train		0		0		0		0		0			
(2) Total Derailed		0		0		0		0		0			
36. Equipment Damage This Consist		\$ 1,289		37. Track, Signal, Way, & Structure Damage		\$ 0		38. Primary Cause Code M101		39. Contributing Cause Code			
Number of Crew Members						Length of Time on Duty							
40. Engineers/ Operators 0		41. Firemen		42. Conductors 0		43. Brakemen		44. Engineer/Operator Hrs: Mins:		45. Conductor Hrs: Mins:			
Casualties to:		46. Railroad Employees		47. Train Passengers		48. Others		49a. Special Study Block A		49b. Special Study Block B			
Fatal		0		0		0		OTH		000-000-000			
Nonfatal		0		0		0							
50. Latitude 29.79604						51. Longitude -95.291863							
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This collection of information is mandatory under 49 CFR 225, and is used by FRA to monitor national rail safety. Public reporting burden is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing databases, gathering and maintaining the data needed, and completing and reviewing the collection of information. The information collected is a matter of public record, and no confidentiality is promised to any respondent. Please note that an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this collection is 2130-0500.													