FEDERAL RAILROAD ADMINISTRATION

RAIL EOUIPMENT ACCIDENT/INCIDENT REPORT

OMB Approval No: 2130-0500

| 1. Name of Reporting Ra   | ilroad                   |               |                         |   |                               |             |                     |                              |   | 1a. Alpha                         | 1a. Alphabetic Code                   |                 |               |           |                        |                                    | 1b. Railroad Accident/Incident No. |                 |                    |  |  |
|---|--------------------------|---------------|-------------------------|---|-------------------------------|-------------|---------------------|------------------------------|---|-----------------------------------|---------------------------------------|-----------------|---------------|-----------|------------------------|------------------------------------|------------------------------------|-----------------|--------------------|--|--|
| Norfolk Southern  | NS                       |               |                         |   |                               |             | 34862               |                              |   |                                   |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| 2. Name of Other Railro   |                          |               |                         |   | lved                          |             |                     |                              |   |                                   |                                       |                 |               |           |                        | 2b. Railroad Accident/Incident No. |                                    |                 |                    |  |  |
| Union Pacific Railroad Company [UP]   |                          |               |                         |   |                               |             |                     |                              |   |                                   | UP                                    |                 |               |           |                        |                                    | 034                                |                 |                    |  |  |
| 3. Name of Railroad or C  |                          |               |                         |   |                               |             |                     | Railroad                     | Accident/I  | ncident No.                       |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| Norfolk Southern  |                          | NS            |                         |   |                               |             |                     | 34862                        |   |                                   |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| 4. U. S. DOT Grade Crossing Identification Number                           |                          |               |                         |   |                               |             |                     |                              |   |                                   |                                       | ent/Incident    |               |           | 6. 7                   | Time of A                          | Accident/In                        | cident          |                    |  |  |
|   |                          |               |                         |   |                               |             |                     |                              |   | 0                                 | 0 7 2                                 |                 | 5   year 2019 |           |                        | :45                                | A                                  | M               | $_{\mathrm{PM}}$ X |  |  |
| 7. Type of Accident/  |                          | 1. Dera       | ailment                 |   | 4. Si                         | de collisio | n                   | 7                            | 7. Hwy-rail   |                                   |                                       |                 | osion-det     |           |                        | 13. Othe                           | er                                 |                 | Code               |  |  |
|   |                          |               |                         |   | king collision 8. RR grade    |             |                     |                              | -   |                                   |                                       |                 |               | ı         |                        |                                    |                                    |                 |                    |  |  |
| entry in code box) 3. Rear end collision                                    |                          |               |                         |   | oken train                    |             |                     | Obstructi                    | ion   | 12. Other impa                    |                                       |                 |               |           |                        | rative)                            |                                    | 01              |                    |  |  |
| 8. Cars Carrying 9. HAZMAT Ca   |                          |               |                         |   | rs                            |             |                     | 10. Cars Releasing<br>HAZMAT |   |                                   |                                       | eople           |               |           | 12. Subdivision        |                                    |                                    |                 |                    |  |  |
| HAZMAT Damaged/ Derailed  |                          |               |                         |   |                               |             | IAZNIAI             |                              | Eva   | acuated                           |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| N/A   |                          |               |                         |   |                               | N/A         | 14 Mi               | lanast                       | N/A<br>(to  | 15. State                         |                                       | Code            | /A            | nts:      | A                      | SHLA                               | ND AVI                             | E CR            |                    |  |  |
| 13. Nearest<br>City/  |                          |               |                         |   |                               |             | 14. Milepost (to    |                              | (10   | Ab                                |                                       | Code 16. County |               | ity       |                        |                                    |                                    |                 |                    |  |  |
| Town CHICAGO  |                          |               |                         |   |                               |             | te                  | tenth) UW001                 |   | . II.                             |                                       | 17 CO           |               | K         |                        |                                    |                                    |                 |                    |  |  |
| 17. Temperature (F)   |                          |               |                         |   | (single entry)                | )           | Code 19. Weather (s |                              |   |                                   |                                       | Code            |               |           | 20. Type of Track      |                                    |                                    |                 | Code               |  |  |
| (specify if minus)  | (specify if minus) 77 °F |               | 1. Dawn                 |   | 3. Dusk                       |             | 2                   |                              | . Clear   | 3. Rain                           |                                       | 5. Sleet        |               |           |                        | 1. Main 3. Si                      |                                    | -               |                    |  |  |
| 21. Track Name/   | <b>77</b> F              |               | 2. Day                  | <u>y</u>                                | 4. Dark                       | 22          | 2 2. C              |                              | 2. Cloudy<br>Co   | de 23. Annual 7                   |                                       | 6. Snow         |               | 1_        |                        | 2. Yard<br>Time Ta                 |                                    | dustry          | Code               |  |  |
| Number  | , X)                     | 1             |                         |   |                               |             |                     |                              | 24. Time Table Direction Code 1. North 3.East             |                                   |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| CT28  |                          |               |                         |   |                               |             |                     |                              | 1   | (gross tons                       |                                       |                 |               | 0         |                        | 2. South                           | 4. W                               |                 |                    |  |  |
| 25. Type of Equipment 1. Freight train 5. Single car 9. Maint./inspect. car |                          |               |                         |   |                               |             |                     |                              | D. EMU  | ,                                 | 26. Was Equipment                     |                 |               |           | '                      | 27. Train Number/Symbol            |                                    |                 |                    |  |  |
| Consist 2. Passenger train-Pulling 6. Cut of cars A. Spec. MoW Equip.       |                          |               |                         |   |                               |             |                     |                              | E. DMU  |                                   |                                       | Attended?       |               |           |                        |                                    |                                    |                 |                    |  |  |
| (single entry)  | ishing                   |               | Code                    |   | 1. Yes                        | 2. No       | 1                   | Code                         |   |                                   |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
|   | 4. Work train            | n             |                         |   | 1                             |             | ater Train-Pu       |                              |   |                                   |                                       |                 |               |           | Lac                    |                                    |                                    |                 |                    |  |  |
| <ol> <li>Speed (recorded sp<br/>if available)</li> </ol>                    | eed                      |               | Code                    | e                                       | 30. Type of                   |             |                     | odes that                    | apply)  |                                   |                                       |                 |               |           | 30a                    |                                    | •                                  | led Locomoti    |                    |  |  |
| R - Recorded  |                          |               |                         |   | Signalization  1. Signaled    |             | t Signaled          |                              |   | 1                                 |                                       |                 |               |           |                        |                                    |                                    | y controlled o  | -                  |  |  |
| E - Estimated   | 000                      | MPH           | E                       |   | 1                             |             | Authority fo        | or Movem                     | nent (Ma  | ndatory) 5                        |                                       |                 |               |           |                        |                                    |                                    | ol tower oper   |                    |  |  |
|   | oss tonnage,             |               |                         |   | -                             |             | •                   |                              |   | /Restricted Lin                   |                                       |                 |               |           |                        |                                    |                                    | ol portable tra |                    |  |  |
| excluding power un  | -                        |               |                         |   | 4. Block Re                   | egister Ter | ritory 5.           | Other Th                     | nan Main Ti   | rack I                            | -Specia                               | al Instructions |               |           |                        | more t                             | nan one ren                        | note            |                    |  |  |
| Supplemental/Adjunct Codes (Mana  |                          |               |                         |   |                               |             |                     |                              | adatory*)   |                                   |                                       |                 |               |           |                        | contro                             | transmitte                         | r               | Code               |  |  |
|   |                          |               | 0                       |   | * Mandatory                   | to the ext  | ent that all a      | applicable                   | e codes are   | entered                           | ered                                  |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| 31. Principal Car/Unit a. Ini   |                          |               |                         | Initial and Number b. Position in Train |                               |             |                     |                              | c. Loaded (yes/no) 32. If any railroad employee(s) tested |                                   |                                       |                 |               |           | sted for d             | rug/alco                           | nol use, ent                       | er the numbe    | er that            |  |  |
| (1) First involved  |                          |               |                         |   |                               |             |                     |                              |   |                                   | were positive in the appropriate box. |                 |               |           |                        |                                    | Alc                                | ohol            | Drugs              |  |  |
| (derailed, struck, etc)   |                          |               |                         |   |                               |             |                     |                              |   |                                   |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| (2) Causing (if mechanical,   |                          |               |                         |   | 000                           |             |                     |                              |   |                                   | 33.Was this consist tra               |                 |               |           | nsporting passengers ? |                                    |                                    | (y/n)           |                    |  |  |
| cause reported)   |                          |               |                         |   |                               |             | 000                 |                              |   |                                   |                                       |                 |               | 01        | Ü                      |                                    |                                    |                 | No                 |  |  |
| 34. Locomotive Units  |                          | a. Head       |                         | Mid Train                               |                               | Rear I      |                     | nd                           | 35. Cars  |                                   |                                       |                 | Load          |           | led                    |                                    | pty                                |                 |                    |  |  |
| (Exclude EMU, DMU, and Cab Car  |                          |               | End                     |   |                               |             | emote d. Manua      |                              | e. Remote   | (Include EMU, Di<br>Locomotives.) |                                       | MU, and Cab Car |               | a. Freigh | nt b. P                | b. Pass. c. l                      |                                    | d. Pass.        | e. Caboose         |  |  |
| Locomotives.)   |                          |               |                         |   |                               |             |                     | -                            |   | Locomonves                        | ves.)                                 |                 |               |           | +                      |                                    |                                    |                 |                    |  |  |
| (1) Total in Train  |                          |               | 0                       |   | 0 (                           |             | 0                   | )                            | 0   |                                   | (1) Total in Equipment Cons           |                 |               | 0         | (                      | )                                  | 0                                  | 0               | 0                  |  |  |
| (2) Total Derailed  |                          |               | 0                       |   | 0 0                           |             | 0                   |                              | 0   | (2) Total                         | Deraile                               | erailed         |               |           | (                      | )                                  | 0                                  | 0               | 0                  |  |  |
| 36. Equipment Damage  |                          |               | 37. Track, Signal, Way, |   |                               |             |                     |                              | 38. Primary C   | ause                              | <u>'</u>                              |                 |               | 39. Co    | ntributin              | g Cause                            |                                    | •               |                    |  |  |
| This Consist  |                          | ě             | & Structure Da          | mage                                    | s 17,287                      |             |                     | Code                         | Code H30  |                                   |                                       |                 | Code          |           |                        |                                    |                                    |                 |                    |  |  |
|   | \$                       | <u>0</u><br>N | Number of               | f Crew                                  | v Members                     |             |                     | •                            |   |                                   |                                       |                 |               | ngth of ' | l<br>Γime on I         | Outy                               |                                    |                 |                    |  |  |
| 40. Engineers/  | 1                        |               |                         | 42. Conductors 43. Brakeme              |                               |             |                     |                              |   | 44. Engineer/                     | 44. Engineer/Operator                 |                 |               |           | 45. Conductor          |                                    |                                    |                 |                    |  |  |
| Operators   |                          |               |                         |   | 0                             |             |                     |                              |   | Hrs: Mins:                        |                                       |                 |               | Н.        | Hrs: Mins:             |                                    |                                    |                 |                    |  |  |
|   | 0 46 P 3 45 4 45         |               |                         | 40.00                                   | -                             |             |                     |                              |   |                                   |                                       |                 |               | 10        |                        | pecial Study Block B               |                                    |                 |                    |  |  |
| Casualties to:  |                          |               |                         | 47. Ti                                  | Train Passengers 48. Other    |             |                     |                              |   | 49a. Special S                    | 49a. Special Study Block A            |                 |               |           | b. Specia              | I Study I                          | Block B                            |                 |                    |  |  |
| Nonfatal  | 0                        |               |                         | 0                                       |                               |             | 0                   |                              |   | ОТН                               |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
| 50. Latitude  |                          |               |                         | 0                                       |                               |             |                     | 61.1                         |   |                                   | ongitude                              |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
|   |                          |               |                         |   | 41.82072                      | 27          |                     |                              |   | 51. Longitude                     | ;                                     |                 |               |           | -8                     | 7.6700                             | 97                                 |                 |                    |  |  |
| 52. Narrative Description ***** UNION PACIFIC TRACK CT28.                   | ,                        | -             |                         |   | n separate shee<br>H-24 FAILE |             |                     | SELVES                       | S INTO TE   | HE INCORRE                        | CT TR                                 | RACK,RESUI      | TING II       | N THE     | UP CRE                 | W SHO                              | VING OF                            | F THE EN        | D OF STUB          |  |  |
| 53. Typed/Printed Name &  |                          |               |                         |   |                               |             |                     |                              | 55. Dat   |                                   |                                       |                 |               |           |                        |                                    |                                    |                 |                    |  |  |
|   |                          |               |                         |   |                               |             |                     |                              |   |                                   |                                       |                 |               |           |                        | 55. Da                             | te                                 |                 |                    |  |  |
| Title of Preparer   | &                        |               |                         |   |                               |             |                     | 54. Sig                      | gnature   |                                   |                                       |                 |               |           |                        | 55. Da                             | te                                 |                 |                    |  |  |

NOTE: This report is part of the reporting railroad's accident report pursuant to the accident reports statute and, as such shall not "be admitted as evidence or used for any purpose in any suit or action for damages growing out of any matter mentioned in said report...." 49 U.S.C. 20903. See 49 C.F.R. 225.7 (b).

This collection of information is mandatory under 49 CFR 225, and is used by FRA to monitor national rail safety. Public reporting burden is estimated to average 2 hours per response, including the ime for reviewing instructions, searching existing databases, gathering and maintaining the data needed, and completing and reviewing the collection of information. The information collected is a matter of public record, and no confidentiality is promised to any respondent. Please note that an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this collection is 2130-0500.